



07/07/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Inventors : John S. HENDRICKS et al.

Serial No. : 08/794,637

Filed : February 3, 1997

For : BANDWIDTH ALLOCATION FOR A TELEVISION
DELIVERY SYSTEM

Attorney Docket No.: 5033

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION
Filing Date Granted

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Application Division

Dear Sir:

In response to the letter of May 7, 1997, enclosed are:

Filing Fee \$ 70, plus surcharge \$ 130.00, totalling \$ 200;
Information Disclosure Statement, PTO-1449, and copies
of 43 of the 167 cited references;
Declaration in accordance with 37 C.F.R. § 1.63; and
Copy of the U.S. Patent and Trademark Office letter of
May 7, 1997.

TOTAL FEE SUBMITTED \$ 200.00

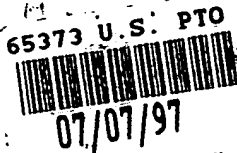
If any additional fee is required, please charge our Deposit Account No. 04-1425 and advise us accordingly.

Respectfully submitted,



Dated: July 7, 1997

Aldo Noto
Registration No. 35,628
DORSEY & WHITNEY LLP
1330 Connecticut Avenue, N.W.
Suite 200
Washington, D.C. 20036
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138-185 0308
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/794,637 02/03/97 HENDRICKS J 5033

0232/0507

ALDO NOTO
1330 CONNECTICUT AVENUE NW
SUITE 200
WASHINGTON DC 20036

NOT ASSIGNED

2602
DATE MAILED:

05/07/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ large entity ☐ small entity (verified statement filed), is \$ 200.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 10.00, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☒ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$_____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

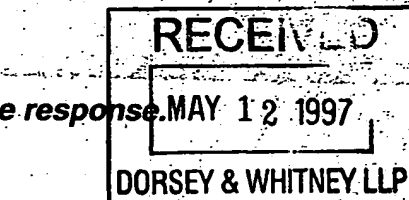
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center

Initial Patent Examination Division (703) 205-1000



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